Community Resilience Indicators and Self-Rated Post-Disaster Regional Recovery in Iwanuma, Japan

Yuto Shiozaki¹, Rika Ohtsuka¹, Hiroyuki Hikichi², Katsunori Kondo³, Ichiro Kawachi⁴, Jun Aida⁵

¹ National Research Institute for Earth Science and Disaster Resilience, Japan ² Kitasato University ⁴ Harvard T.H. Chan School of Public Health ⁵ Institute of Science Tokyo

Introduction

- Some studies have validated community resilience indicators using secondary data, such as national census. While useful, they have limitations in capturing social dimensions such as trust or informal social ties.
- Other researchers have employed **field surveys** to assess the social aspects of community resilience, including social capital, and have found associations with post-disaster recovery. However, these studies assessed resilience after disasters had occurred.

Methods

- Statistical model: Multilevel logistic regression
- Hierarchical structure:
- Level-1: Individuals (n = 3,523)
- Level-2: Communities (Districts, K = 98) -> Mean: 35.9 respondents per district
- -> Range: 6–132 respondets

Analytical approach:

- Sequential models adding individual- and community-level indicators **Cross-level interactions** tested (resilience \times housing damage)
- Missing data addressed via **multiple imputation** (m = 50)



- Community-level social participation significantly enhanced respondents' recovery perceptions.
- The positive impact of **community social activities** was particularly evident among those with severe housing damage, highlighting the factor's key role in recovery from major disasters. Conversely, in communities with higher satisfaction with public services, the negative effect of housing destruction was even greater—suggesting complex expectations and experiences regarding public support.



As a result, it remains unclear how pre-disaster community resilience-measured through field surveys-affects postdisaster recovery outcomes.

Research Question

Are pre-disaster community resilience indicators associated with individual and regional post-disaster recovery outcomes?

• Communities with higher scores on resilience indicators are expected to exhibit better recovery outcomes.

Study Area

- Iwanuma City, located in Miyagi Prefecture, Japan, had a population of 44,187 in 2010, with 19.8% aged 65 or older. The city was severely affected by the 2011 Great East Japan Earthquake and Tsunami (GEJET), which inundated 48% of its land area and caused 187 deaths. More than 5,400 houses were damaged.
- Following the disaster, Iwanuma City implemented communityoriented recovery efforts, including group-based temporary

	Outcome variables		in the respondent's neighborhood of the respondent's daily life						
	Covariates	 Age Sex Homeownership 	 Equalized income Educational attainment Geriatric Depression Scale 	and Covariates					
	Disaster experience	 Housing damage Loss of Relatives or Friend 	 Rate of housing damage above moderate- 	/ Variables					
	Resilience indicators	Level-1 indicators: perceived community conditions		Explanator					
Tested effects of resilience indicators on outcome variables									
	EconomiIncome g	c development gap ervice satisfaction	 research (e.g., Norris et al., 2008). Place attachment Emotional support Instrumental support Community activities Social participation 						



housing and relocation projects aimed at preserving neighborhood ties and foster social cohesion during recovery.



Fig. 1. Administrative Districts (Level-2 units) and Inundation Map of Iwanuma City

Note. Colored text denotes variable levels: Level-1 (Individual level), Level-2 (District level). Fig. 2. Analytical Framework

Table 2. Characteristics of the Analytical Sample

Variables	Categories	No. (%)	Mean (SD)	Timing	
Self-rated recovery in the	1: Completely/Mostly recovered	2,637 (74.9)			
respondent's neighborhood	0: Halfway/Slightly/Not at all	674 (19.1)		2013	
(Level-1)	Missing	212 (6.0)			
Self-rated recovery of the	1: Completely/Mostly recovered	2,798 (79.4)			
respondent's daily life	0: Halfway/Slightly/Not at all	551 (15.6)		2013	
(Level-1)	Missing	174 (4.9)			
Housing damage (HD)	4: Major (MJ)	157 (4.5)			
Level-1)	3: Moderate+ (MD+) 130 (3.7)				
	2: Moderate- (MD-)	254 (7.2)			
	1: Minor (MI)	1,479 (42.0)		2010	
	0: No damage (ND)				
	Missing	98 (2.8)			
_oss of relatives or friends	1: Yes 1,314 (37.3)				
(Level-1)	0: No	2,140 (60.7)		2010	
	Missing	69 (2.0)		2010	
Rate of HD above MD–		· · · · · · · · · · · · · · · · · · ·			
(Level-2)	District-level mean	98 (100.0)	0.19 (0.32)	2010	
Age	In years (≥ 65 years old)	3,523 (100.0)	73.64 (6.28)	4 (6.28) 2010	
(Level-1)	Missing	0			
Sex	Female	1,993 (56.6)			
(Level-1)	Male	1,530 (43.4)		2010	
	Missing	0			
Homeownership	1: Yes	1: Yes 3,138 (89.1)			
(Level-1)	0: No	246 (7.0)		2010	
	Missing	139 (4.0)			
Equivalized income	In 10,000 JPY units	2,875 (81.6)	229.6(141.3)	2010	
(Level-1)	Missing	648 (18.4)			
Educational attainment	1: < 6 years	47 (1.3)			
(Level-1)	2: 6 – 9 years	1,170 (33.2)			
	3: 10 – 12 years	1,467 (41.6)	201		
	4: ≥ 13 years	704 (20.0)			
	Missing	135 (3.8)			
Geriatric Depression Scale	0: Lowest – 15: Highest	3,036 (86.2)	3.66 (3.44)	2010	
(GDS) (Level-1)	Missing	487 (13.8)		2010	
Public service satisfaction	0: Deteriorated – 2: Improved	3,154 (97.7)	0.93 (0.39)	2010	
(Level-1)	Missing	369 (10.5)			
Public service satisfaction (Level-2)	District-level mean	98 (100.0)	0.93 (0.11)	2010	
Social participation (Level-1)	0 – 3: Groups joined ≥1/month (sports, hobby, volunteer)	2,680 (76.1)	0.72 (0.91)	2010	
	Missing	843 (23.9)		_010	
Social participation (Level-2)	District-level mean	98 (100.0)	0.67 (0.30)	2010	
Community activities	0: Declined – 2: Increased	3,154 (89.5)	0.88 (0.52)	2010	
(Level-1)	Missing	369 (10.5)			
Community activities (Level-2)	District-level mean	98 (100.0)	0.87 (0.16)	2010	

Fig. 3. Models Predicting Self-Rated Recovery in the Respondent's Neighborhood



Model 1b: Covariates + Disaster experience

Model 3: Model 1b + Level-1/2 social participation

Data

We used data from the Japan Gerontological Evaluation Study (JAGES), a nationwide longitudinal study of older adults in Japan.

Table 1. Baseline and Follow-up Surveys of the JAGES in Iwanuma City

Survey	Timing	Sample	Notes
Baseline (Pre-disaster)	Aug 2010	Enrolled participants: n = 8,576 (age 65+) Valid respondents: n = 4,957	 Self-administered questionnaire (Response rate: 59.0%) Respondents with invalid consent were excluded
Follow-up (Post-disaster)	Oct 2013: 2.5 years after GEJET	Eligible for the follow-up n = 4,380 Analytical panel sample: n = 3,523	 Face-to-face interviews (Response rate: 82.1%) Respondents with invalid consent or inconsistent answers were excluded

Model 4: Model 1b + Level-1/2 community activities

Fig. 4. Models Predicting Self-Rated Recovery of the Respondent's Daily Life



Fig. 5. Interaction Effects of Housing Damage and Level-2 Resilience Indicators

References

• Norris, F. H., Stevens, S. P., Pfefferbaum, B., Wyche, K. F., & Pfefferbaum, R. L. (2008). Community resilience as a metaphor, theory, set of capacities, and strategy for disaster readiness. American Journal of Community Psychology, 41(1–2), 127–150.